

Form PTO-1449 (Modified) Atty Docket No.: 003386.P010 Serial No.: 09/754,385

List of Patents and Publications Statement
(Use several sheets if necessary)
Page 1 of 8

Applicant:
Jonathan M. Goldberg, et al.
Filing Date: January 8, 2001

REFERENCE DESIGNATION

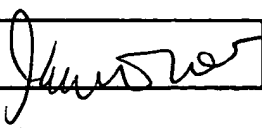
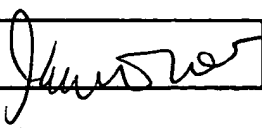
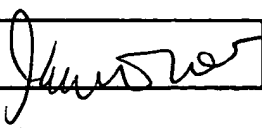
U.S. PATENT DOCUMENTS

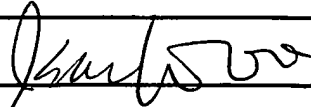
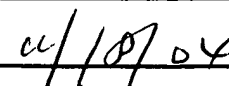
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	AA	4,914,586	Swinehart et al.	364 107	200 101	11/06/1987
	AB	4,970,681	Bennett	364 107	900 3	10/20/1987
	AC	5,051,891	MacPhail	364 107	200 200	12/23/1987
	AD	5,247,575	Sprague, et al.	380 105	2 53	04/24/1992
	AE	5,247,661	Hager, et al.	395 107	600 104	09/10/1990
	AF	5,251,131	Masand, et al.	364 104	419 087	07/31/1991
	AG	5,251,159	Rowson	364 103	578 14	03/20/1991
	AH	5,276,869	Forrest, et al.	395 107	600 206	09/10/1990
	AI	5,297,057	Kramer	364 103	542 7	11/13/1991
	AJ	5,331,579	Maguire, Jr. et al.	364 103	578 2	04/02/1993
	AK	5,333,237	Stefanopoulos, et al.	395 106	42 11	06/08/1992
	AL	5,428,740	Wood et al.	395 345	161 440	10/27/1994
	AM	5,438,526	Itoh, et al.	364 116	578 20	07/07/1992
	AN	5,473,732	Chang	395 106	77 59	02/07/1995
	AO	5,481,741	McKaskle, et al.	395 345	800 52	09/22/1993
	AP	5,488,725	Turtle, et al.	395 107	600 5	03/30/1993
	AQ	5,493,729	Nigawara, et al.	395 106	61 52	07/20/1994
	AR	5,513,126	Harkins, et al.	364 107	514A28	10/04/1993
	AS	5,530,852	Meske, Jr. et al.	395 107	600 206	12/20/1994
	AT	5,541,836	Church, et al.	364 104	419 077	12/30/1991
	AU	5,544,067	Rostoker, et al.	364 103	489 14	06/14/1993
	AV	5,555,426	Johnson, et al.	395 107	200 206	02/23/1995
	AW	5,778,364	Nelson	707	6	01/02/1996
	AX	5,586,218	Allen	395 106	10 12	08/24/1995
	AY	5,608,900	Dockter, et al.	395 107	813 02	06/21/1994
	AZ	5,628,011	Ahmed, et al.	395 107	610 10	01/04/1993
	BA	5,659,731	Gustafson	395 107	804 4	06/19/1995
	BB	5,659,732	Kirsch	395 107	605 5	05/17/1995
	BC	5,692,107	Simoudis, et al.	395 106	50 12	09/20/1996
	BD	5,704,017	Heckerman, et al.	395 106	81 12	02/16/1996

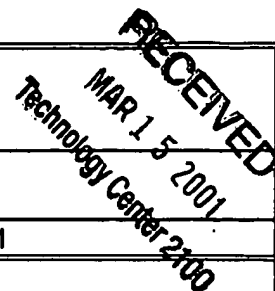
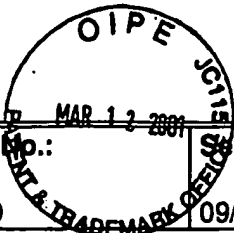
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Form PTO-1449 (Modified)		Atty Docket No.: 12 2001 003386.P040		Serial No.: 09/754,385					
List of Patents and Publications Statement (Use several sheets if necessary) Page 2 of 8				Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001					
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS						
Examiner Initials		Document No.		Class	Sub-Class				
	BE	5,717,914	Husick, et al.	395 no	605 5				
	BF	5,717,923	Dedrick	395 no	613 10				
	BG	5,720,001	Nguyen	395 no	10 59				
	BH	5,724,567	Rose et al.	395 no	602 2				
	BI	5,727,129	Barrett, et al.	395 no	12 10				
	BJ	5,754,938	Herz, et al.	455 no	4-2 116				
	BK	5,794,210	Goldhaber, et al.	705	14				
	BL	5,802,518	Karaev, et al.	707	9				
	BM	5,812,434	Nagase, et al.	364 no	578 2				
	BN	5,835,087	Herz, et al.	345 no	327 816				
	BO	5,855,008	Goldhaber, et al.	705	14				
	BP	5,867,799	Lang, et al.	707	1				
	BQ	5,892,909	Grasso, et al.	395 no	200 31				
	BR	5,913,212	Sutcliffe, et al.	707	6				
	BS	5,931,907	Davies, et al.	709	218				
	BT	5,950,200	Sudai, et al.	707	9				
	BU	5,974,412	Hazlehurst, et al.	707	3				
	BV	5,995,597	Woltz, et al.	379	93.24				
	BW	5,999,932	Paul	707	10				
	BX	5,999,975	Kittaka, et al.	709	224				
	BY	6,006,200	Boies, et al.	705	26				
	BZ	6,006,221	Liddy, et al.	707	5				
	CA	6,014,644	Erickson	705	37				
	CB	6,021,439	Turek, et al.	709	224				
	CC	6,038,560	Wical	707	5				
	CD	6,026,374	Chess	705	26				
	CE	6,052,122	Sutcliffe, et al.	345	331				
	CF	6,052,709	Paul	709	202				
	CG	6,052,714	Miike, et al.	709	217				
	CH	5,924,090	Krellenstein	707	5				
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Examiner		Date Considered	11/10/04						

Form PTO-1449 (Modified)		Atty Docket No.: 003386.P010		Serial No.: 09/754,385			
List of Patents and Publications Statement (Use several sheets if necessary) Page 3 of 8				Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001			
FOREIGN PATENT DOCUMENTS							
No.		Document No.	Date	Country	Class	Sub-Class	Translation
	CI	WO 99/39279	05/08/1999	PCT	G06F	17/30	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
w	CJ	Leonard N. Foner, "A Multi-Agent Referral System for Matchmaking", MIT Media Lab					
w	CK	Welcome to enonymous.com, Web page, "Be Privacy Aware ... Be enonymous!", http://www.enonymous.com/default.asp .					
w	CL	"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAA! Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> .					
w	CM	Enonymous Web Page, "Frequently Asked Questions", http://www.enonymous.com/faq.asp .					
w	CN	Enonymous Web Page, "How enonymous advisor beta works ...", http://www.enonymous.com/howitworks.asp .					
w	CO	"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.					
w	CP	"Somewhat-by-topic linearization of Yenta", Leonard Foner, last modified February 11, 1997, http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html .					
w	CQ	Enonymous Web Page, "the enonymous profile ..", http://www.enonymous.com/profile.asp .					
w	CR	Enonymous Web Page, "The enonymous zone ...", http://www.enonymous.com/zone.asp .					
w	CS	Enonymous Web Page, "What is enonymous advisor?", http://www.enonymous.com/whatisit.asp .					
w	CT	Enonymous Web Page, "Why be enonymous?", http://www.enonymous.com/whybeenon.asp					
w	CU	"Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.					
w	CV	"Abuzz's Mission", Abuzz, http://www.abuzz.com					
Examiner				Date Considered			
							
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List of Patents and Publications Statement (Use several sheets if necessary) Page 4 of 8	Applicant: Jonathan M. Goldberg, et al.	
	Filing Date: January 8, 2001	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

h	CW	"Always Thinking Ahead", Natrifical Software Technologies, http://www.natrifical.com
h	CX	"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.
h	CY	"Autonomy Agentware™ Technology White Paper" http://www.agentware.com
h	CZ	"Autonomy Knowledge Management Products", http://www.agentware.com
h	DA	"Autonomy Knowledge Server Data Sheet", http://www.agentware.com
h	DB	"Autonomy Knowledge Update Data Sheet", http://www.agentware.com
h	DC	"Autonomy Latest News" Autonomy Home Page, http://www.agentware.com
h	DD	"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, http://www.agentware.com
h	DE	"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, http://www.agentware.com
h	DF	"Beehive", Abuzz, http://www.abuzz.com/home/benefits.htm .
h	DG	"Beehive: Beta Program", Abuzz, http://www.abuzz.com/home/demos.htm
h	DH	"Beehive: White Papers", Abuzz, http://www.abuzz.com/home/white_papers.htm
h	DI	DATA SOURCES. PP K-213, 214, 217, 224, 229 and 230, 1 st Edition 1998.
h	DJ	"Digitize Your Mind", Natrifical Software Technologies, http://www.natrifical.com
h	DK	e-Genie by Open Sesame: Your Entertainment Geniel, http://www.opensesame.com/ .
h	DL	http://www.email-software.com/pages/00108.htm , review of "Emailrobot for Exchange/SMTP".

Examiner

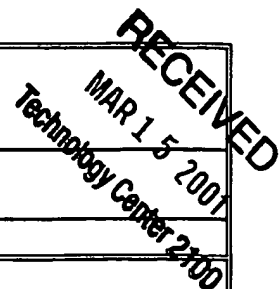
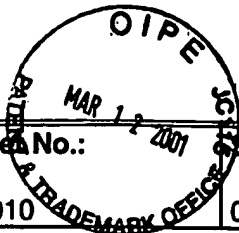
Jonathan M. Goldberg

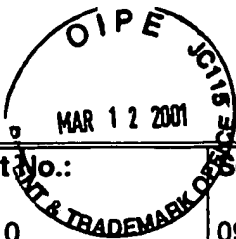
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List of Patents and Publications Statement (Use several sheets if necessary) Page 5 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
L	DM	"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence and the Eighth Innovative Applications of Artificial Intelligence Conference</i> , A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract).	
L	DN	"Free Your Mind", Natrifical Software Technologies, http://www.natrifical.com	
L	DO	"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., <i>IEMC Proceedings</i> , pp.281-285, August 18-20, 1996 (INSPEC Abstract).	
L	DP	"IS Puts Notes to the Test", <i>Datamation</i> , Mark Schlack, vol. 37, no. 15, pp 24-26, August 1, 1991	
L	DQ	"It's Your Thought That Counts", Natrifical Software Technologies, http://www.natrifical.com .	
L	DR	"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997.	
L	DS	"Knowledge is Power", Natrifical Software Technologies, http://www.natrifical.com .	
L	DT	"Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998.	
L	DU	"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
L	DV	"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	
L	DW	"Knowledge Management: Great Concept...But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998.	
L	DX	"Knowledge Management's Net Gain", Kevin Jones, ZD net, inter@ctive Week, February 24, 1998.	
L	DY	PC Week "Learn Sesame gets more personal", http://www.zdnet.com/eweek/reviews/0316/16sesame.html	
L	DZ	Forbes Web Page, Forbes ASAP (04-06-98): Life Span vs. Life Spam, http://www.forbes.com/asap/98/0406/074.htm	
L	EA	"MAIL-MAN: A Knowledge-Based Mail Assistant for Managers", <i>Journal of Organizational Computing</i> , L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992, (abstract only).	
L	EB	"Natrifical Software Technologies Introduces The Brain" (press release), Natrifical Software Technologies, http://www.natrifical.com , January 26, 1998.	
Examiner		Date Considered	
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List of Patents and Publications Statement (Use several sheets if necessary) Page 6 of 8	Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EC	"Natrificial Software Technologies Unveils Internet Brain Publishing" (press release), Natrificial Software Technologies, http://www.natrificial.com , June 9, 1998.
ED	"Natrificial Software Technologies", Natrificial Software Technologies, http://www.natrificial.com .
EE	"Neural Agent Enable Personalized Surfing", R. Colin Johnson, CMP net TechWeb, http://www.techweb.com , February 4, 1998.
EF	"New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), http://www.opensesame.com , January 20, 1998.
EG	NPTool Intro: Conclusion and Acknowledgments, http://www.lingsoft.fi/doc/nptool/intro/conclusion.html .
EH	NPTool Intro: Nptool in Outline, http://www.lingsoft.fi/doc/nptool/intro/outline.html .
EI	NPTool Intro: Overview, http://www.lingsoft.fi/doc/nptool/intro/overview.html .
EJ	NPTool Intro: Performance, http://www.lingsoft.fi/doc/nptool/intro/performance.html .
EK	NPTool Intro: Previous Work, http://www.lingsoft.fi/doc/nptool/intro/previous.html .
EL	NPTool Intro: Syntactic Description, http://www.lingsoft.fi/doc/nptool/intro/syntax.html .
EM	NPTool Intro: Use of a Noun Phrase Parser, http://www.lingsoft.fi/doc/nptool/intro/uses.html .
EN	"Open Sesame and Verity Open Doors to Personalized Software", KMWorld.com, http://www.kmworld.com , February 6, 1998.
EO	Open Sesame Published Papers, http://www.opensesame.com/co_02.html
EP	"Open Sesame site just works", Bill Burke, Business Today.com, http://www.opensesame.com , Today's Column, January 22, 1998
EQ	Open Sesame, http://www.opensesame.com .
ER	Open Sesame: Agent Sourcebook, http://www.opensesame.com/co_03.html .

Examiner <i>Jonathan M. Goldberg</i>	Date Considered <i>4/18/04</i>
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

L	ES	Open Sesame: Commitment to Privacy, http://www.opensesame.com/privacy.html .
L	ET	Open Sesame: Press; Reviews, http://www.opensesame.com/pr_02.html .
L	EU	Open Sesame: Products/Demos, http://www.opensesame.com/product_05.html .
L	EV	Open Sesame: Products: Benefits, http://www.opensesame.com/prod_02.html .
L	EW	Open Sesame: Products; Competitive Summary, http://www.opensesame.com/prod_03.html .
L	EX	Open Sesame: Products; eGenie Live!, http://www.opensesame.com/prod_06.html .
L	EY	Open Sesame: Products; Learn Sesame, http://www.opensesame.com/products.html .
L	EZ	Open Sesame: Products; Product Datasheet, http://www.opensesame.com/prod_04.html .
L	FA	Open Sesame: Products; Why Personalize?, http://www.opensesame.com/prod_01.html .
L	FB	Open Sesame: The Company, http://www.opensesame.com/company.html .
L	FC	Orbital Technologies: About Orbital Technologies, http://www.orbital-tech.com/about.html .
L	FD	Orbital Technologies: Corporate Fact Sheet, January 1998, http://www.orbital-tech.com .
L	FE	Orbital Technologies: Orbital Organik White Paper, July 1997, http://www.orbital-tech.com/ .
L	FF	Orbital Technologies: Organik KnowledgeWare, http://www.orbital-tech.com/organikkw.html .
L	FG	Orbital Technologies: Organik Persona Server, http://www.orbital-tech.com/organikps.html .

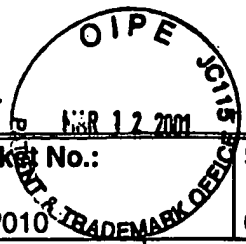
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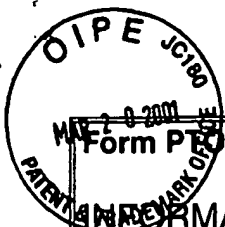
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

W	FH	Orbital Technologies: Our Focus, http://www.orbital-tech.com/ .
v	FI	Orbital Technologies: Orbital Products, http://www.orbital-tech.com/products.html .
u	FJ	http://www.email-software.com/pages/00033.htm , review of "Signup V2.0"
l	FK	"The Brain Press Reviews", Natrifical Software Technologies, http://www.natrifical.com .
z	FL	WINMAG.COM Web Page, The Brain: Much More The Way You Think, http://www.winmag.com/library/1998/0501/rev0078.htm
e	FM	The Technology Behind Autonomy Agentware, Autonomy Technology Page, http://www.agentware.com .
u	FN	"The Web at the Speed of Thought", Natrifical Software Technologies, http://www.natrifical.com .
h	FO	"Topic Real-Time", Hp-UX Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.
h	FP	"What's New", Natrifical Software Technologies, http://www.natrifical.com , 1998.
	FQ	
	FR	
	FS	
	FT	
	FU	
	FV	
	FW	

Examiner <i>[Signature]</i>	Date Considered 01/18/04
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PATENT INFORMATION DISCLOSURE
CITATION

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U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Patentee	Class	Sub-Class	Filing date if appropriate
<i>JS</i>	AA	6,112,186	Christopher P. Bergh, et al.	705	10	03/31/1997
	AB	6,175,831 B1	Andrew P. Weinreich, et al.	707	10	01/17/1997
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FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Class	Sub-Class	Translation
<i>h</i>	AC	WO 97/02537	23/01/1997	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>h</i>	AD	H. Ogata, "Distributed PeCo-Mediator: Finding Partners via Personal Connections," IEEE International Conference, Vol. 1, 1996, pages 802-807
Examiner	<i>JS</i>	
Date Considered	<i>4/18/04</i>	

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